International Training Course on Cryosphere Observation, Monitoring, and Research along the Belt and Road



# **Permafrost Monitoring**

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August 19, 2024

# Contents

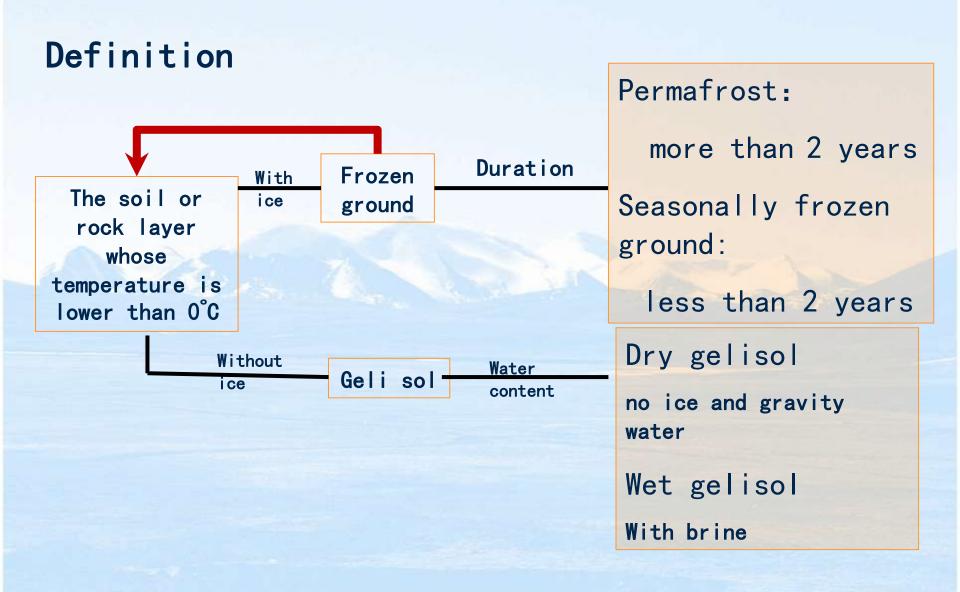


- 1. Permafrost and its role in climate system
- 2、Permafrost monitoring
- 3 、Some cases on permafrost monitoring in the Qinghai-Tibet Plateau and Mongolian Plateau



# 1. Permafrost and its role in climate system





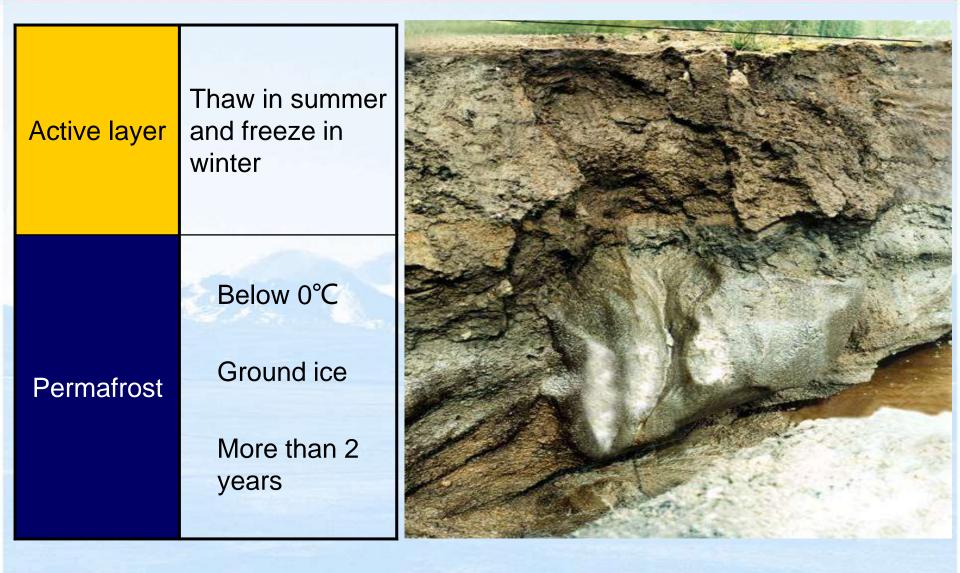
# What is Permafrost



## PERMAFROST IS A THERMAL CONDITION OF EARTH MATERIAL

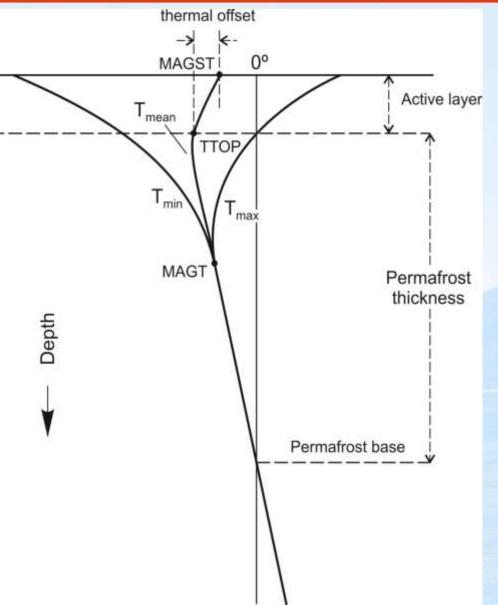
- Permafrost is ground that remains at or below 0°C for two or more years
- The active layer is the layer of ground above permafrost that freezes and thaws each year.







# Ground temperature is a linear function of depth







# DISCONTINUOUS PERMAFROST

Churchill, northern Manitoba; The tree line; the southern limit of continuous permafrost

## **CONTINUOUS PERMAFROST Tuktoyaktuk, NWT; MAGST -7°C**





#### Massive ground ice along Tuktoyaktuk coast, NWT.





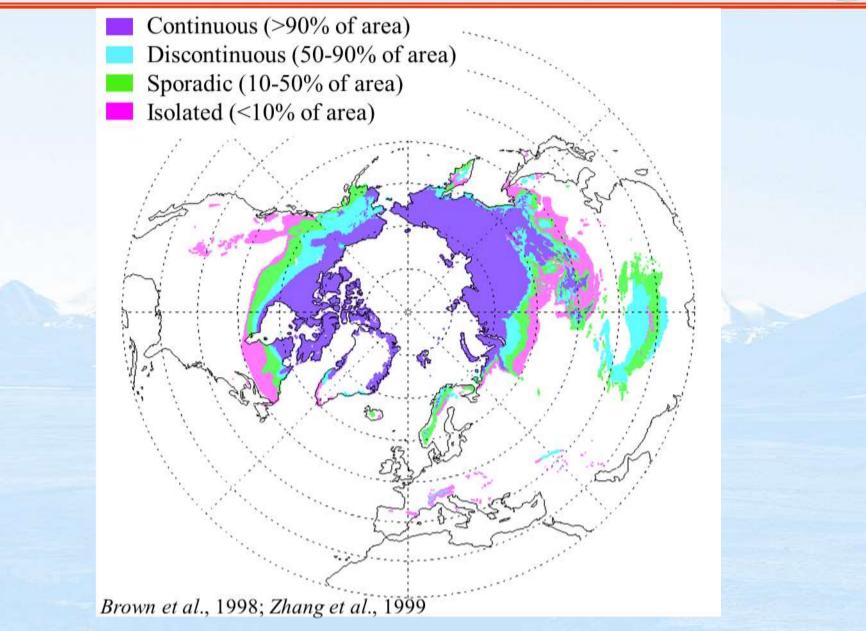
#### Exposed ice in a typical periglacial landform-pingo

# **Permafrost classification**

- By area coverage
  - Continuous (>90% of area)
  - Discontinuous (50-90% of area)
  - Sporadic (10-50% of area)
  - Isolated (<10% of area)</p>
- By Location:
  - Terrestrial
  - Sub-ice
  - Sub-sea
  - Relic
- By Coupling with climate:
  - Exposed (terrestrial)
  - Submerged (sub-ice, sub-sea, and relic)

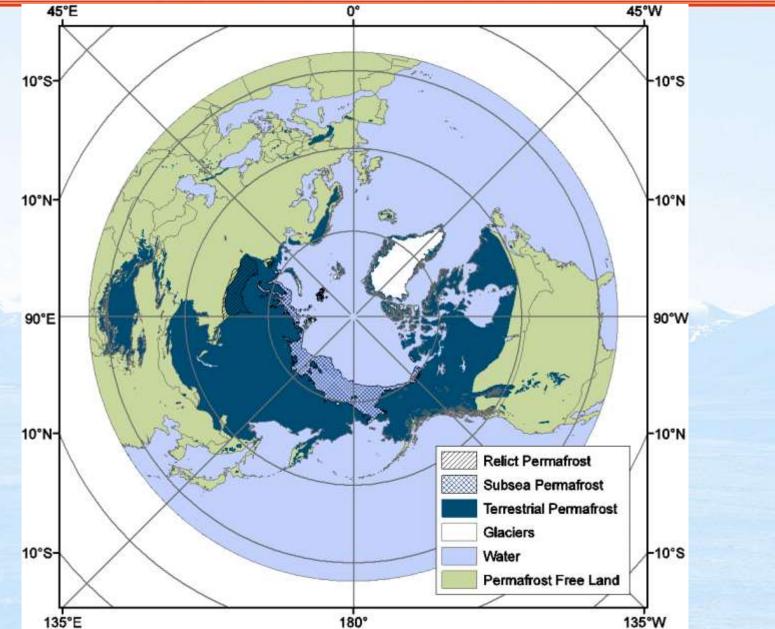
#### Permafrost Classification by Area





#### **Permafrost Classification**







# Controls over the distribution of permafrost

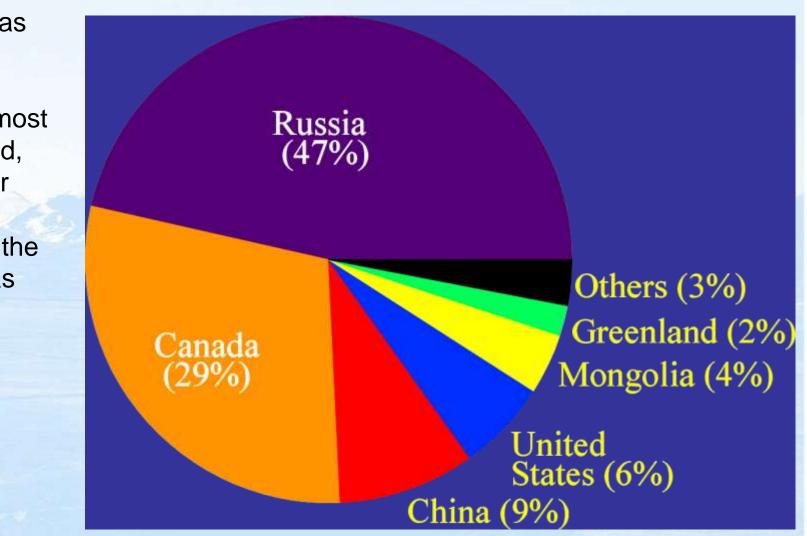
- 1. REGIONAL CLIMATE.....
- 2. Permafrost may be **RELICT** and unrelated to current climate.....
- 3. Local site-specific factors:
- aspect, lithology and thermal conductivity, vegetation cover, snow cover, drainage

#### Permafrost Distribution by Country



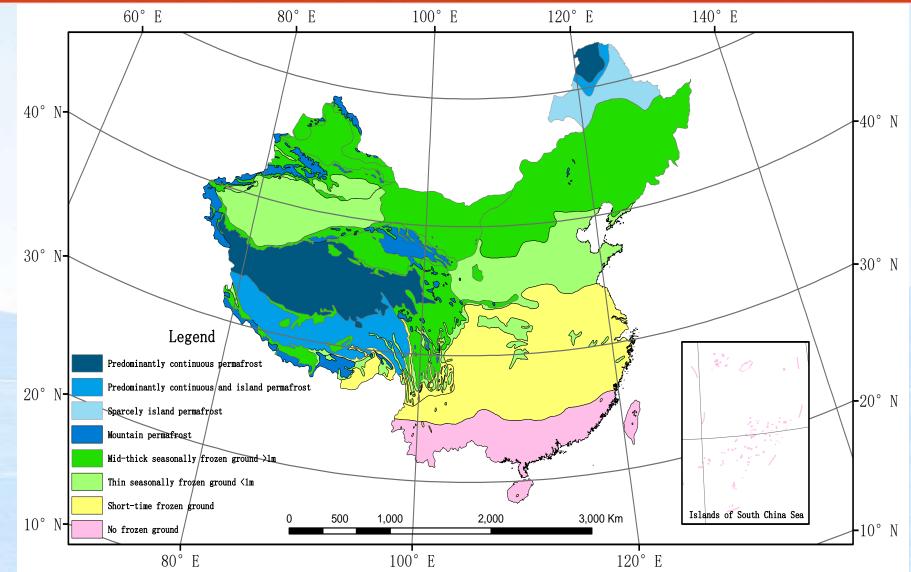
 Permafrost underlies approximately 22.79 million square kilometers (about 24 percent of the exposed land surface) of the Northern Hemisphere.

It occurs as
far north
84° N in
northernmost
Greenland,
and as far
south as
26° N in the
Himalayas

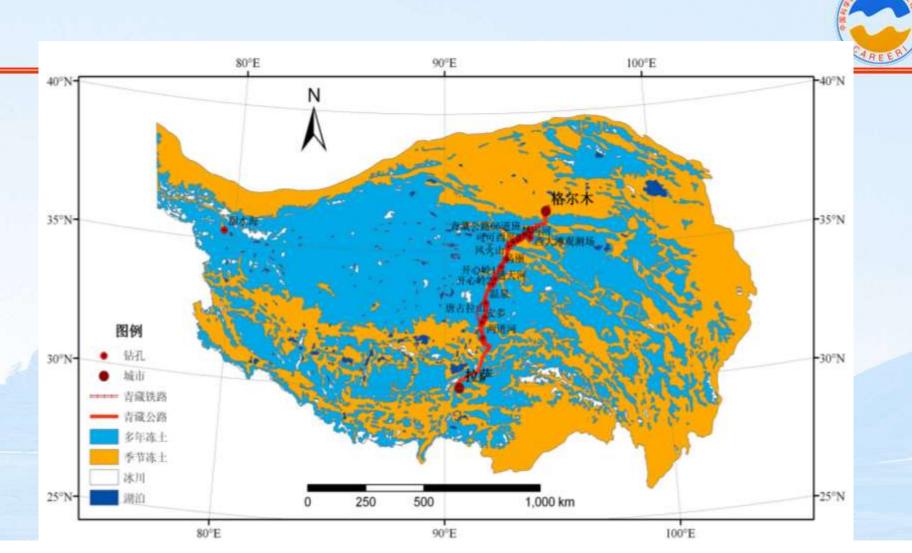


#### **Distribution of frozen ground in China**





•In China, the area of permafrost distribution amounts to about  $1.5 \times 10^{6}$  km<sup>2</sup>.



- On the QTP, the area of permafrost distribution amounts to about 1.06 × 10<sup>6</sup> km<sup>2</sup>;
- Lots of studies indicated that permafrost dynamics on the QTP have exerted significant influence on the engineering construction, hydrological cycles, and ecosystem diversity and production in local regions.



# Geomorphic evidence of warming permafrost

In Arctic regions, a thickening of the active layer may result in an increased frequency of active-layer-detachment failures.

In Subarctic regions, the thaw of marginal (warm) permafrost will cause collapse scars and the formation of wetlands and bogs

French, 2013, Lanzhou

# Active-layer detachment failure, Banks Island, Arctic Canada

# Degrading permafrost in Northern Manitoba

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#### News

About GCOS

✓ Climate Observation Needs

UNFCCC and GCOS

**UNFCCC Guidelines** 

GCOS Reports to UNFCCC

Essential Climate Variables

Climate Monitoring Principles

Observing Systems and Data

Activities

Partners

#### **GCOS Essential Climate Variables**

The 50 GCOS Essential Climate Variables (ECVs) (2010) are required to support the work of the UNFCCC and the IPCC. All ECVs are technically and economically feasible for systematic observation. It is these variables for which international exchange is required for both current and historical observations. Additional variables required for research purposes are not included in this table. It is emphasized that the ordering within the table is simply for convenience and is not an indicator of relative priority.

Domain	GCOS Essential Climate Variables
	Surface:[1] Air temperature, Wind speed and direction, Water vapour, Pressure, Precipitation, Surface radiation budget.
Atmospheric (over land, sea and ice)	Upper-air:[2] Temperature, Wind speed and direction, Water vapour, Cloud properties, Earth radiation budget (including solar irradiance).
Terrestrial	River discharge, Water use, Groundwater, Lakes, Snow cover, Glaciers and ice caps lce sheets, Permafrost, Albedo, Land cover (including vegetation type). Fraction o absorbed photosynthetically active radiation (FAPAR), Leaf area index (LAI), Above ground biomass, Soil carbon, Fire disturbance, Soil moisture.

[1] Including measurements at standardized, but globally varying heights in close proximity to the surface.

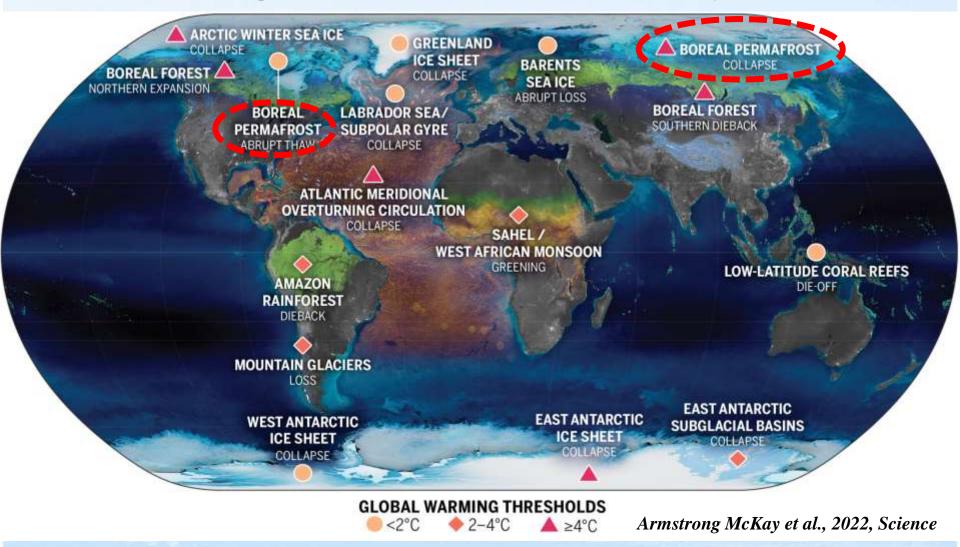
[2] Up to the stratopause.

[3] Including nitrous oxide (N<sub>2</sub>O), chlorofluorocarbons (CFCs), hydrochlorofluorocarbons (HCFCs), hydrofluorocarbons (HECo), and badfuorocarbons (DECo)

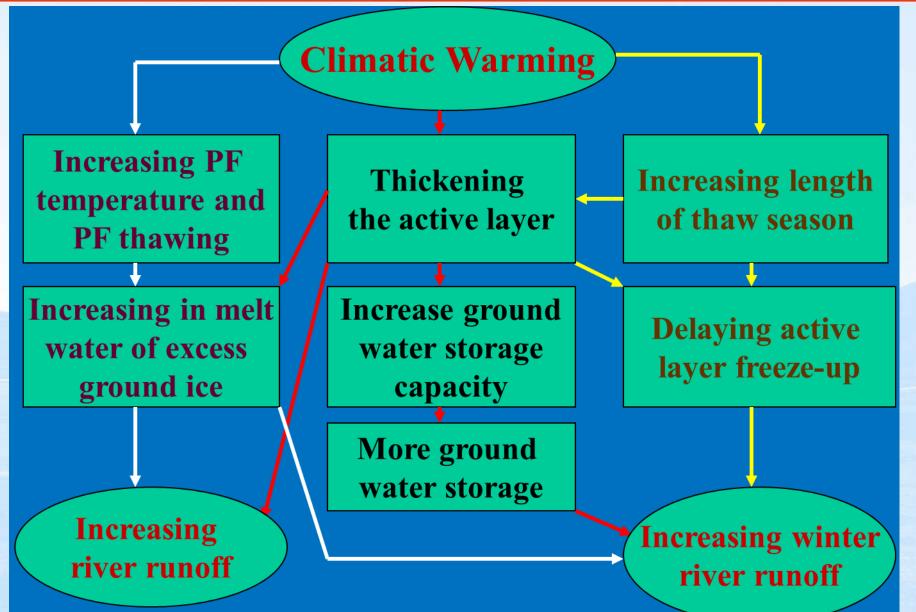
### Permafrost – an essential climate variable of the "Global Climate Observing System"



#### The tipping points in earth climate system







#### Permafrost plays a central role in Arctic landscapes



Snow greatly influences the permafrost conditions

# "Drunken Forests"



http://gsc.nrcan.gc.ca/landscapes/details\_e.php?photolD=509

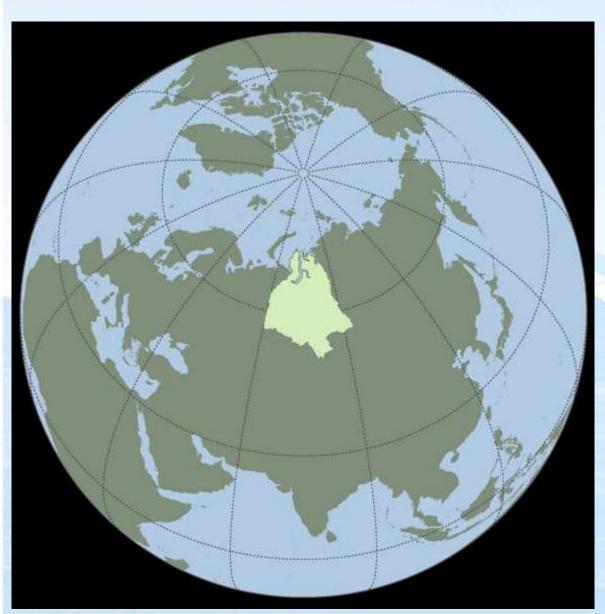
http://openlearn.open.ac.uk/mod/resource/view.php?id=172095

Major influence on tundra ecology: Vegetation and Fauna



#### Also affected by snow and liquid water

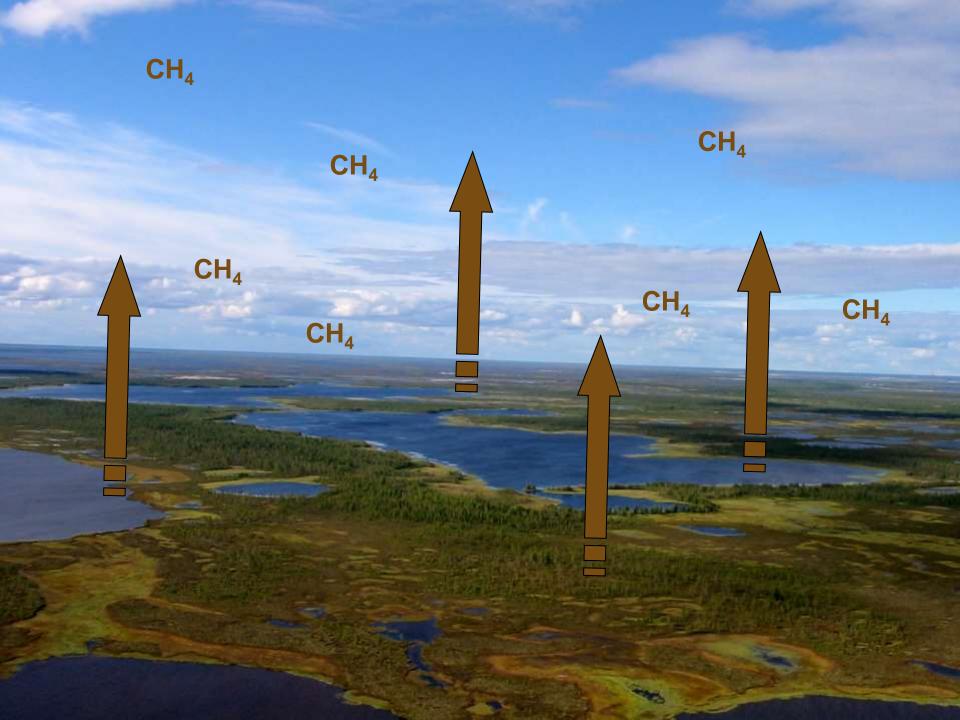




Thawing permafrost forecast to release  $CO_2$  and  $CH_4$  -- huge areas of Siberia are a gigantic source of additional green house gases, as across many parts of the Arctic







#### Impact of Thawing Permafrost on Global Climate



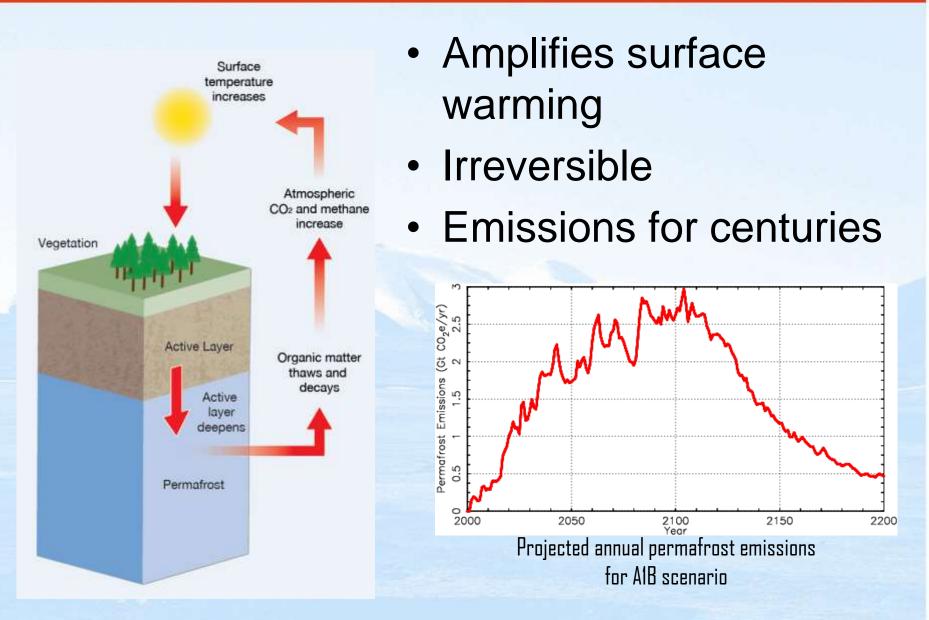
- ~1700 Gt of carbon in permafrost as frozen organic matter
- Thawing permafrost will release CO<sub>2</sub> and methane into atmosphere



(Tarnocai et al. 2009. GBC 23, GB2023)

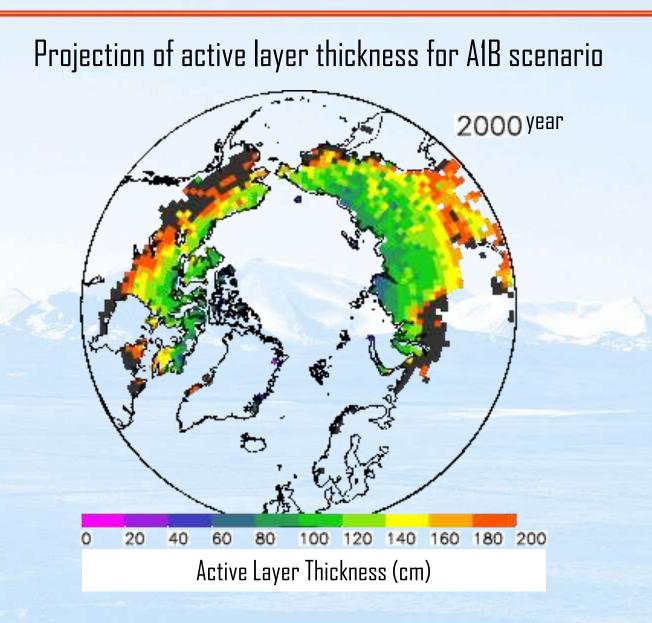
#### The Permafrost Carbon Feedback





#### Permafrost will continue to thaw



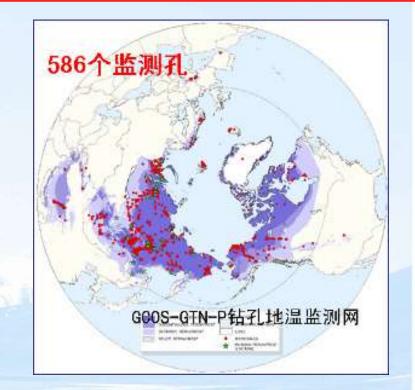


#### **Permafrost observation network in the NH**

Circum-Polar Active Layer Monitoring (CALM) Network 82个活动层监测点 Permafrost Zones Contributions. Aldersprived Direcontinuous Sponade Discontrustus K1-2 CN2 Mt U1-15 U20-25-U10-39 Map by Anna Klene and Nikolai Shiklomanov (UDel) Updates by Claire Gomersall (UCincinnati) Feb 2001 CALM活动层监测网

CALM

► GTN-P





**Global Cryosphere Watch** 

Meteorological Organization

GLOBAL CLIMATE OBSERVING SYSTEM



# 2、Permafrost monitoring

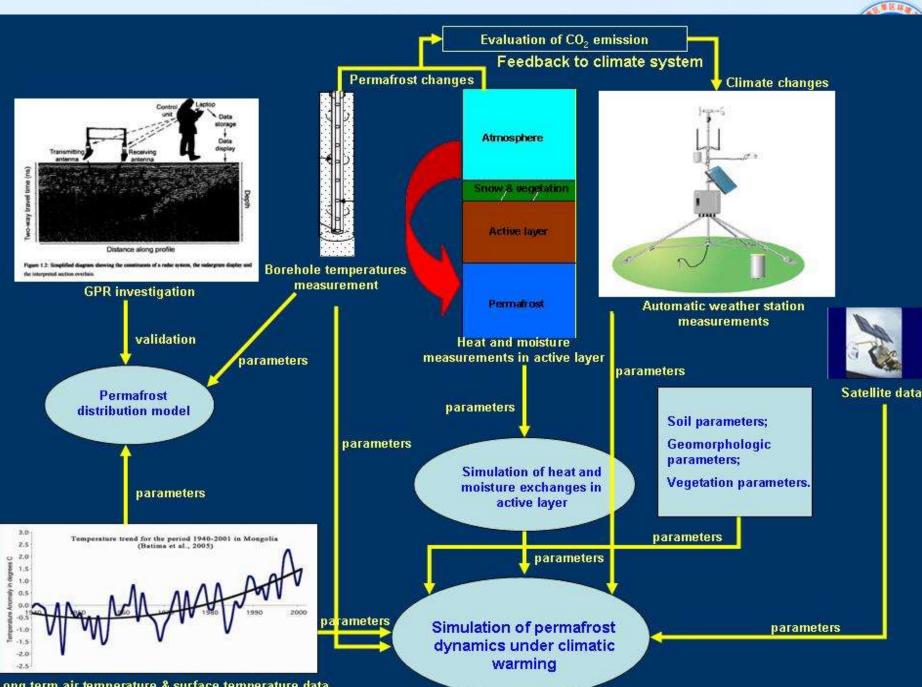


# -Drilling

# -Measuring ground temperatures

# -Observation of active layer

-Geophysics and Remote Sensing

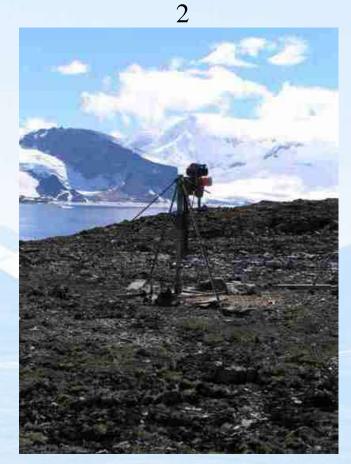


Long term air temperature & surface temperature data

# Drilling





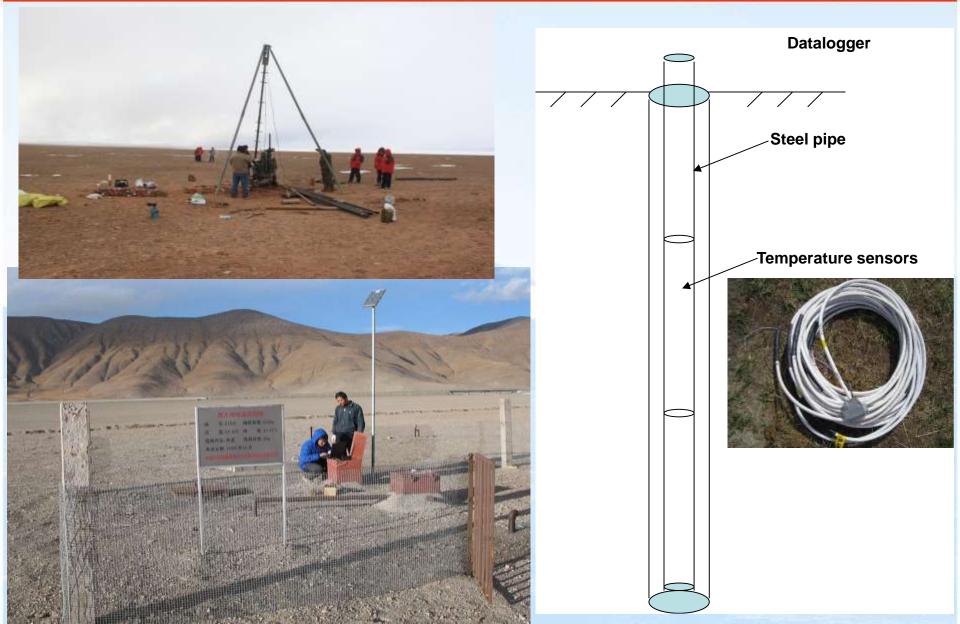


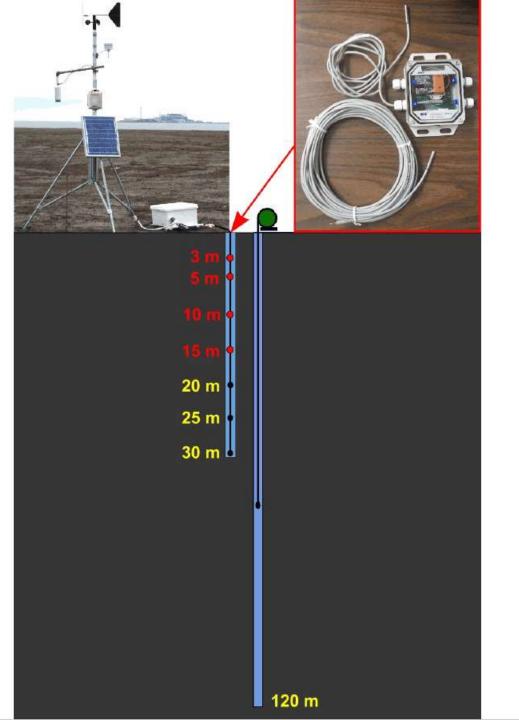
The drilling facilities include two equipment for:

- -1) deep borehole (more than 20 m)
- -2) shallow borehole (up to 15 m).

### Measuring ground temperatures

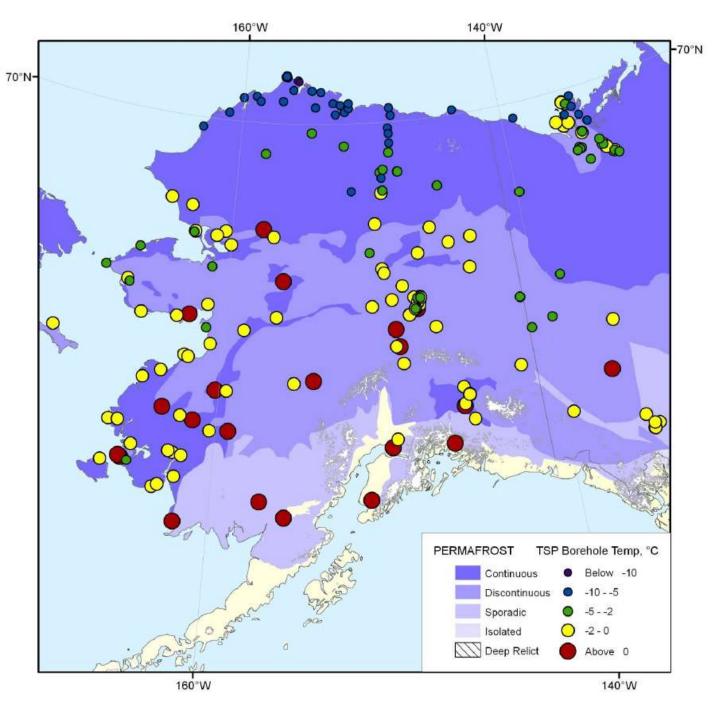




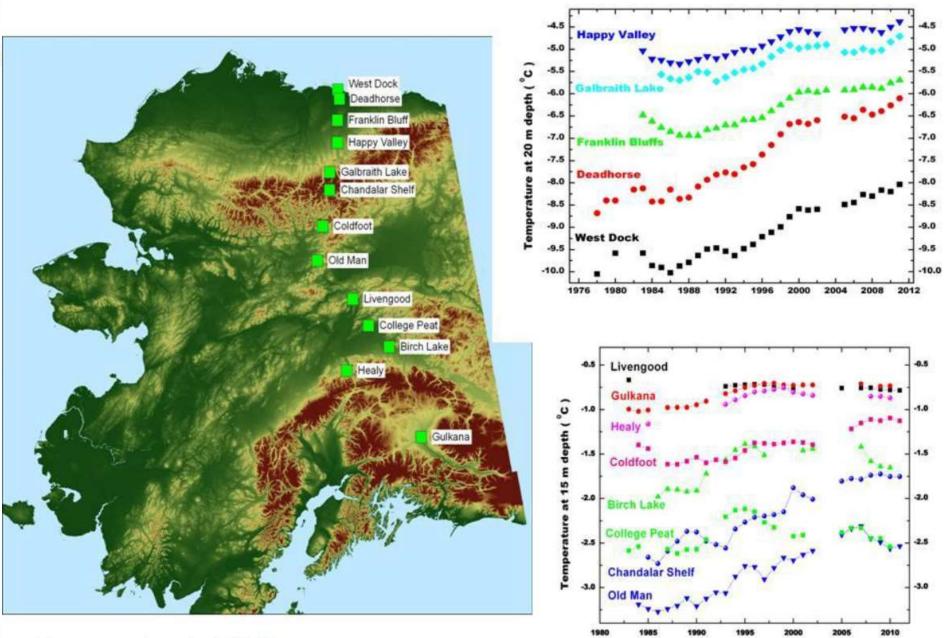








# IPY TSP Snapshot 2007-2009

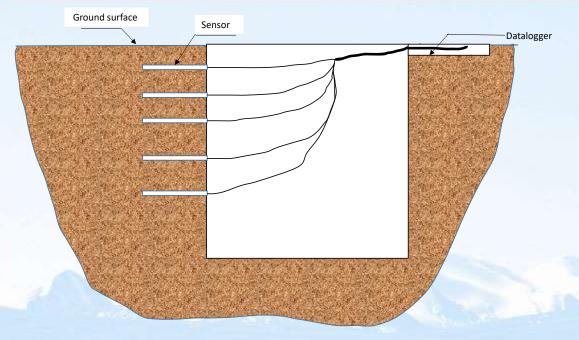


Romanovsky et al, 2012

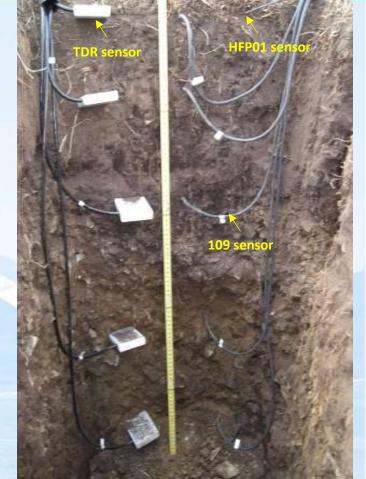
Year

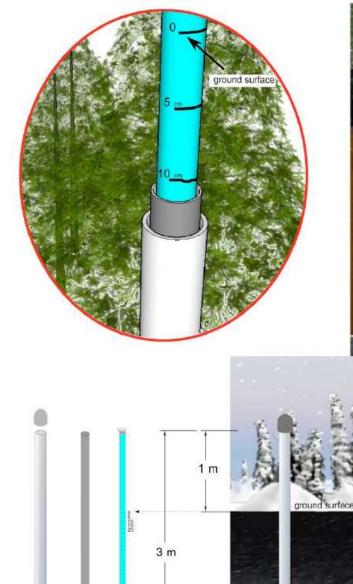
### Observation of active layer

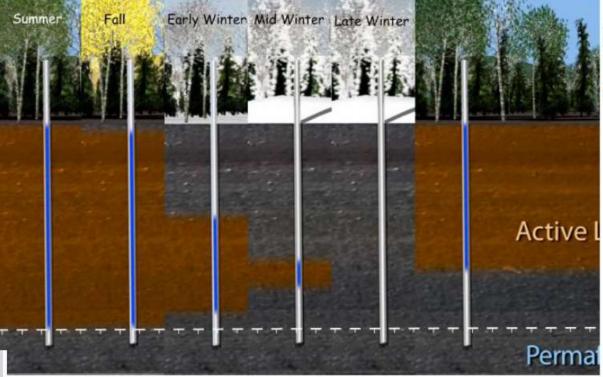




• Soil temperature, moisture content, and heat flux have been recorded by datalogger at an interval of 30 minutes or 1 hour.







# Active Layer Depth Measurements using Frost Tube Method

Frost Tube Protocol, Kenji Yoshikawa, 2011

outer tube middle tube inner tube with dye / food color

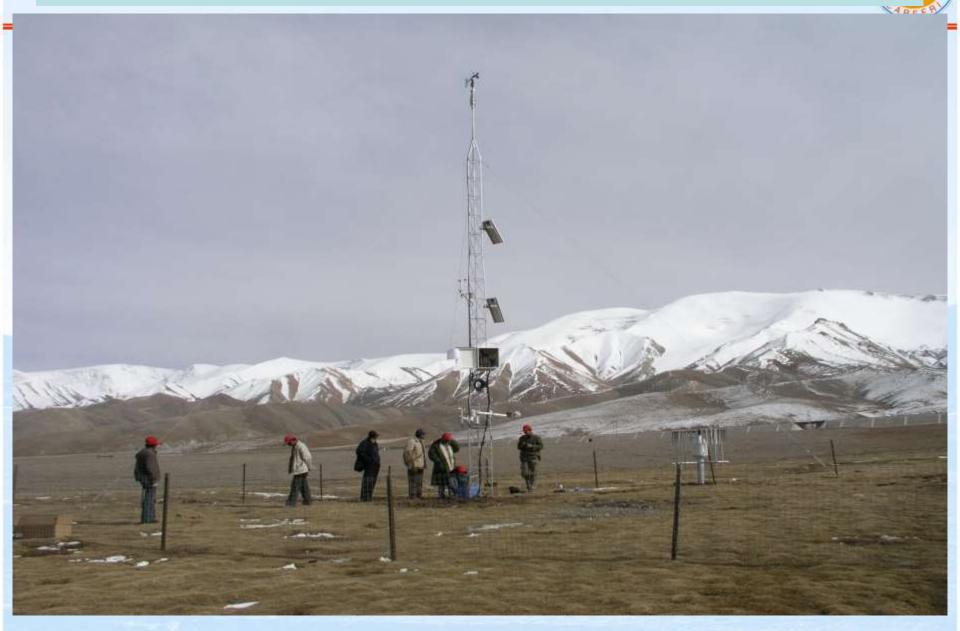
# **Eddy Covariance System**





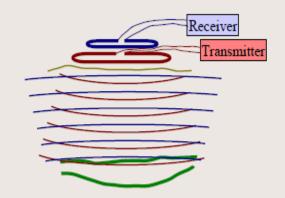
• Sensible heat, latent heat, water vapor, and CO<sub>2</sub> flux were measured.

# **Automatic weather station**



# **GPR** Principles

- Uses 30- 1000 MHz electromagnetic waves emitted in short "chirps" for probing
  - Two dipole antennas as source and receiver;
  - Automatically stacks series of pulses for noise reduction.
- Directly produces a zero-offset section;
  - Optionally, can also be used to produced a constantoffset or walkaway sections.
- Sensitive to *dielectric susceptibility* (ε) and *conductivity* (σ).



# Propagation and reflection of radio waves

Velocity:

$$c = \frac{C_0}{\sqrt{\varepsilon \,\mu}} \approx \frac{C_0}{\sqrt{\varepsilon}}.$$

- the fastest for the 'air' wave;
- generally decreases with depth.

• Impedance: 
$$Z = \sqrt{\frac{\mu}{\epsilon}} \approx \sqrt{\frac{1}{\epsilon}} [Ohms].$$

Amplitude reflection coefficient:

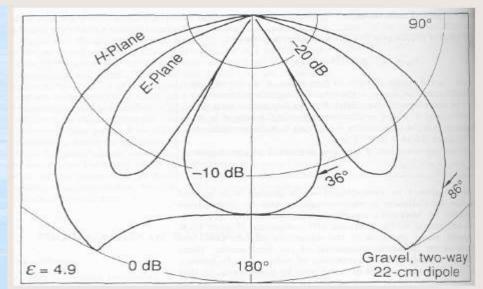
$$R = \frac{Z_2 - Z_1}{Z_2 + Z_1}.$$

- Two-way travel times:
  - ♦ Air: 6 ns/m;
  - Unsaturated sand: 12-18 ns/m;
  - Saturated sand: 18-27 ns/m.

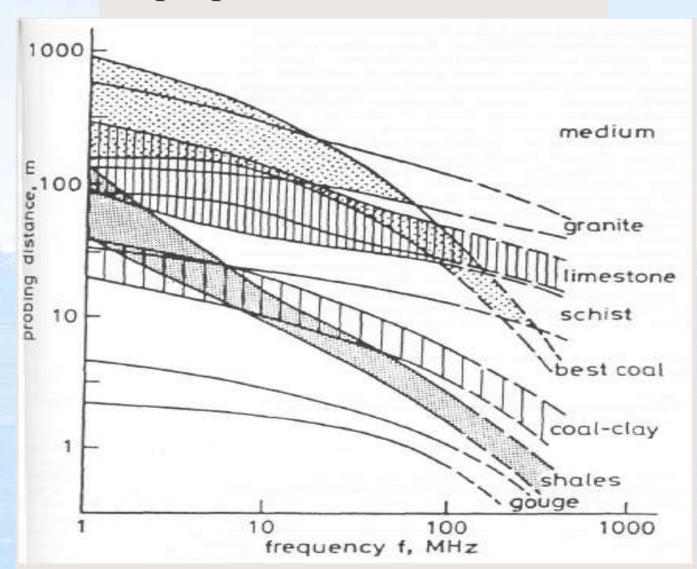


# Antenna directivity patterns

- GPR antenna focuses energy in a beam directed downward;
- Receiver antenna has a similar sensitivity pattern.



### Depth penetration of GPR waves

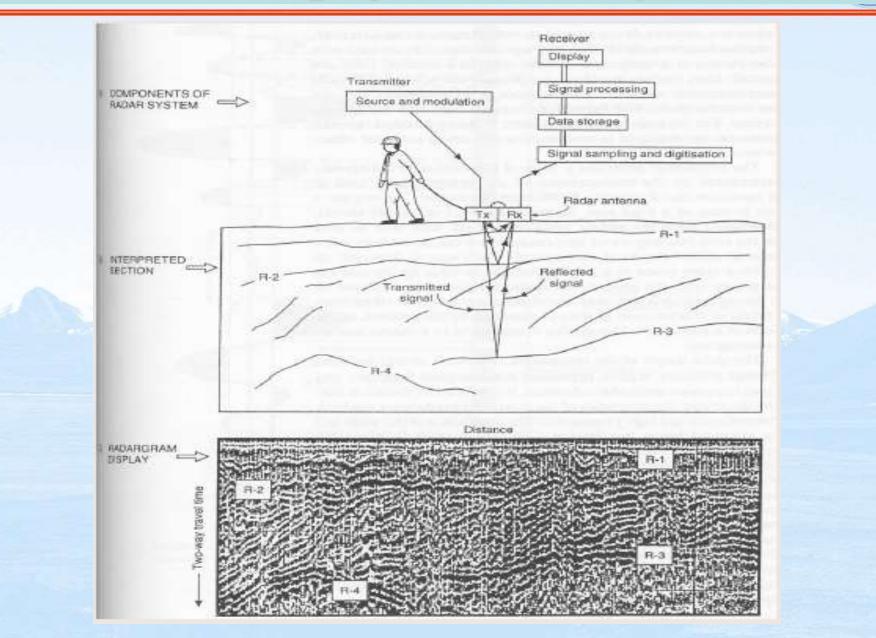


### Relation to Reflection Seismics

### Similarities:

- Processing procedures (filtering, stacking, migration);
- Appearance of the zero-offset section;
- Resolution-frequency relationships;
- Interpretation techniques.
- Differences:
  - Nanoseconds (ns) instead of milliseconds (ms);
    - Sub-meter vertical resolution and ~10-100 m penetration.
  - Electrical properties instead of acoustic impedance;
    - Very sensitive to buried metallic objects.
  - Velocities decrease with depth
    - Rays bend toward the vertical;
    - Free-air arrival is the fastest;
    - Faster attenuation;
    - Large velocity contrasts.
  - Sub-meter resolution.

Thus, GPR is a a valuable complementary technique to shallow seismics.



#### **1.2 Measurement principles**

Resistivity surveys are conducted by injecting a direct electrical current (*I*) into the ground via two current electrodes (A and B in Figure 1.1). The resulting voltage difference ( $\Delta V$ ) is measured at two potential electrodes (M and N). The overall purpose of resistivity measurements is to determine the subsurface resistivity distribution. From the current (*I*) and voltage difference values ( $\Delta V$ ) the resistivity  $\rho$  is calculated using

$$\rho_{\rm a} = K \frac{\Delta V}{I},\tag{1.1}$$

where K is a geometric factor that depends on the arrangement of the four electrodes. This calculated resistivity value is not the 'true' resistivity of the subsurface, but a so-called 'apparent resistivity'  $\rho_a$ , which equals the 'true' (or specific) resistivity only for a homogeneous subsurface. For heterogeneous

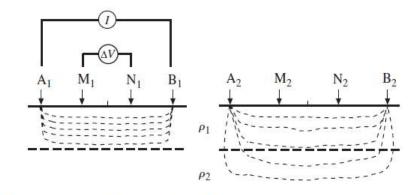


Figure 1.1. Conventional four-electrode configuration in resistivity surveys.

Electrical methods: DC resistivity soundings (also called vertical electrical soundings, VES) and electrical resistivity tomography(ERT)

> C. Kneisel and C. Hauck, 2008

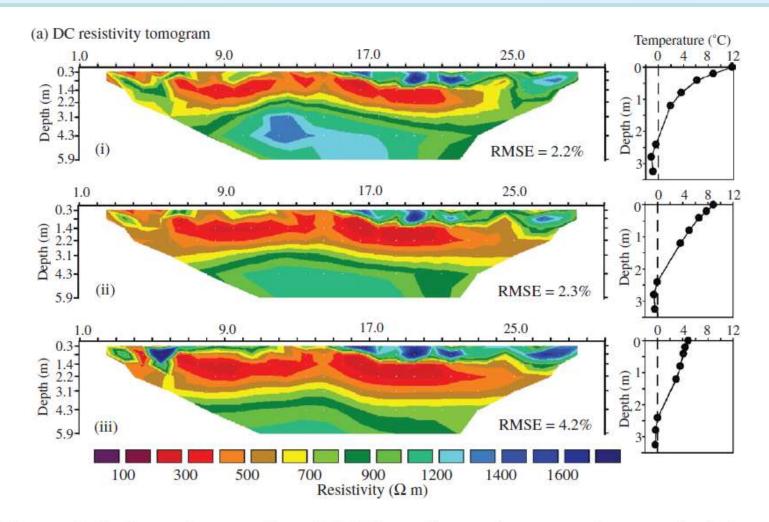


Plate 7 (Figure 6.5). Inversion results of ERT imaging at the permafrost-underlying talus slope, northeast Mongolia. (a) DC resistivity ( $\Omega$  m) tomogram on (i) 1 July, (ii) 24 August and (iii) 20 September 2003. Daily means of ground temperatures on the respective days are also shown. Unit electrode spacing was 1m and total number of electrodes was 30. (b) Plots of anomaly index for two consecutive resistivity measurements, showing resistivity changes (i) between 1 July and 24 August, and (ii) between 24 August and 20 September 2003.



### Checklist of the operation procedures

- Fieldwork should be thoroughly planned especially in remote high mountain areas, e.g. estimation of time available for the field measurements (efficiently using good weather conditions) and battery capacity.
- What is the minimum expected number of profiles/surveys?
- · Is the equipment in working order, are the batteries charged?
- · Always bring spare batteries if possible.
- Choose layout and electrode configuration according to estimated complexity of subsurface layering and ground resistance – compose and/or upload configuration files for the planned measurements.
- · Perform contact resistance check for each electrode.
- In the case of rough surface conditions with high ground resistance, use water or sponges soaked with water attached to the electrodes to improve electrical contact – another possibility is to use additional electrodes in parallel.
- Is there any information on the expected subsurface conditions based on geomorphological and geological setting and interpretation?
- · Is there any information about expected depth of layers?
- · Is there any possibility of shallow layers that might not be resolved?
- Are there any other critical factors (air-filled voids, water flow at the electrodes etc.) influencing the current flow?
- · Note electrodes that are badly coupled.
- Note topography for the following interpretation of the data.
- Make whenever possible or necessary for the scientific question cross-checks using complementary geophysical methods.
- Note location of the electrodes for possible repetition or time-lapse measurements (monitoring).
- After the survey: recharge batteries and save data.

#### 2.2.1 Measurement principle

# Electromagnetic methods:

Electromagnetic techniques include frequency-domain EM systems (FEM), timedomain electromagnetic systems (TDEM), systems using

very low frequencies (VLF) and the so-called radiomagnetotelluric method (RMT).

C. Kneisel and C. Hauck, 2008 Electromagnetic methods are based on electric currents that are induced in the earth by a time-varying current in a transmitter (Figure 2.1). The currents in the earth depend on the electrical conductivity distribution in the subsurface, and cause their own EM field called the secondary field, which superimposes on the primary field generated by the respective instrument transmitter. From the EM field measured by a receiver, the spatial distribution of electrical conductivity may be determined. As indicated in Figure 2.1, loops or coils are commonly used as transmitters or receivers. The confined body used in the sketch may be replaced by a conducting halfspace (a term used to describe the non-conducting air layer over a conducting earth), or any other conductivity distribution, in which case the current flow will be more complicated.

Depending on the time variation of the transmitter current, EM methods operate either in the frequency domain or in the time domain. Frequency-domain electromagnetic (FEM) methods use a sinusoidal current with a specific single frequency at a time. The signal observed at the receiving sensor, which has the

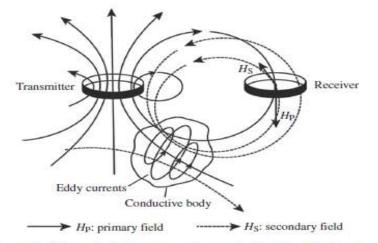


Figure 2.1. Principle of electromagnetic methods (after Militzer and Weber 1985). The varying magnetic field of the transmitter induces currents in the conductor. The induced currents have a secondary field that is superimposed on the primary field.

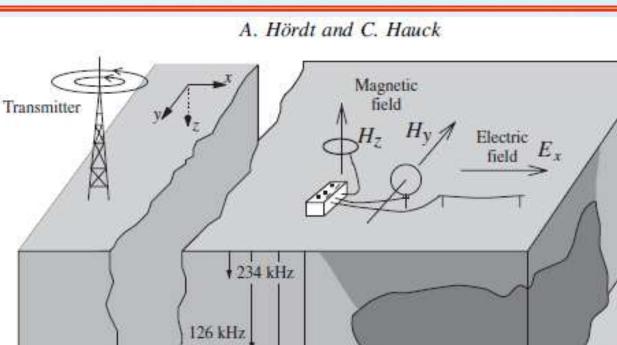


Figure 2.7. Schematic sketch of VLF and RMT methods (modified from Zacher *et al.* 1996). VLF measures the ratio of vertical to horizontal magnetic fields. VLF-R and RMT measure the ratio of horizontal electric and magnetic fields. RMT uses a broad frequency range, with penetration increasing with decreasing frequency.

53.0 kHz

18.3 kHz

### Transient electromagnetic systems (TDEM)





**GDP-32<sup>II</sup>** Multifunction Receiver **OPERATION MANUAL** 



### GDP-32 II Multifunctional Receiver



Before starting a survey:

- · Is the method used adequate to answer the scientific question?
- · Are there any other useful complementary methods?
- What is the minimum expected number of profiles/soundings?
- What is the required line spacing for mapping surveys and how does this relate to the spatial extent of expected anomalies? What is the expected time required for the planned survey?
- Is there any information on the expected subsurface condition based on geomorphological and geological setting and interpretation?
- Is there any information about expected depth of anomalies?
- · Is there any possibility of hidden metallic objects, cables etc.?
- Are there any other critical factors (power lines, other EM noise, surface heterogeneities, water, snow etc.) influencing the EM signal?
- Can the weather conditions influence the EM instrument outputs (temperature/radiation changes)?

During the survey:

- Mark carefully the edges of the survey grid and the locations of the proposed survey line (for FEM mapping).
- Conduct a drift experiment for EM instruments.
- TEM soundings: stack individual sounding curves as appropriate.
- Make backup copies of output data at regular intervals.

After the survey:

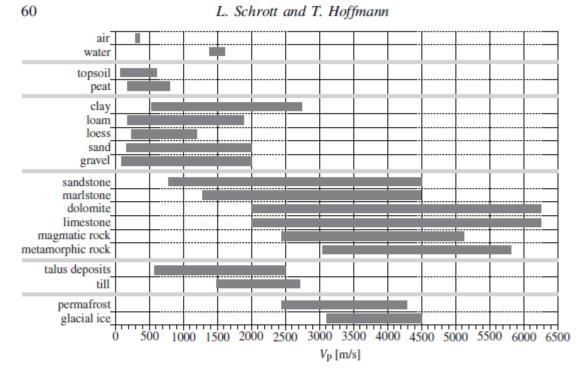
- Use postprocessing software that offers different inversion tools (e.g. smooth inversion and layered inversion).
- Make (whenever possible) cross-checks using complementary geophysical methods.
- Try to avoid the misinterpretation of critical data sets and repeat the survey if necessary.

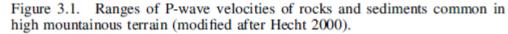
Checklist of the operation procedures



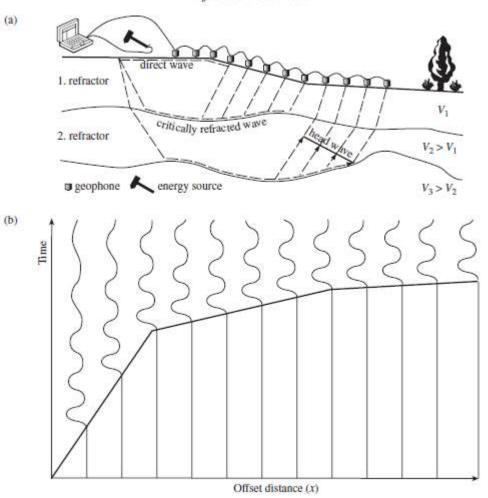
There are two types of seismic waves that travel through the ground. While *longitudinal* or *primary* (*P*-) *waves* are characterised by deformation parallel to direction of wave propagation, the particle motion of *transverse* or *secondary* (*S*-) *waves* takes place at a right angle to the direction of wave propagation. Based on the different types of deformations the proportionality constant between strain and stress varies. In the case of P-waves the elongational modulus *j* is appropriate and for S-waves the shear modulus  $\mu$ .

# Refraction seismics

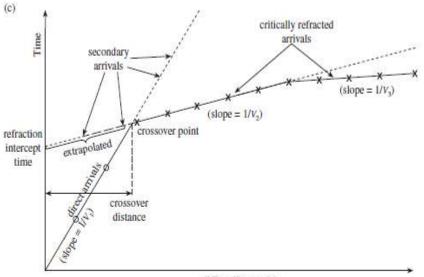




3 Refraction seismics



L. Schrott and T. Hoffmann, 2008



Offset distance (x)

Figure 3.2. (a) Design of a seismic survey and simplified raypath geometry in a layered subsurface with three layers. (b) Corresponding seismogram resulting from a forward shot close to the first geophone. (c) Time-distance plot of traveltimes of the first arrivals extracted from the seismogram above.

Limitations on detecting subsurface layers based on seismic refraction are caused by the following problems:

- (i) velocity inversion with depth,
- (ii) lack of velocity contrast,
- (iii) the existence of thin beds, and
- (iv) inappropriate geophone spacing.

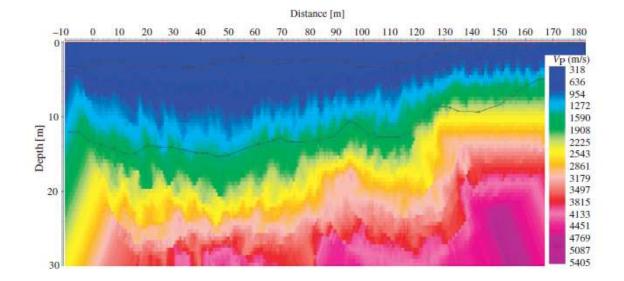


Plate 4 (Figure 3.9). Tomography model of traveltimes measured on a rectilinear slope in the Turtmann Valley, Swiss Alps (traveltimes are shown in Figure 3.6b).



Before starting a survey:

- · Is the method used adequate to answer the scientific question?
- · Are there any other useful complementary methods?
- · What is the minimum expected number of profiles/surveys?
- Is there any information on the expected subsurface condition based on geomorphological and geological setting and interpretation?
- · Is there any information about expected depth of refractors?
- · Is there any possibility of hidden layers?
- Are there any other critical factors (groundwater, hollows etc.) influencing the seismic signal?

During the survey:

- · Check carefully the coupling of each geophone.
- · Check carefully (visually) any shot and repeat single shots if necessary.
- If a sledgehammer is used as an impact source, stack several shots (at least 3-5) to improve the signal-to-noise ratio.
- Check if the total spread and the geophone distance are appropriate in terms of resolution and/or possible depth of the lowermost refractor. Change the geophone distance if necessary.
- · Make hard copies (printouts) of seismograms.

After the survey:

- Discuss your results carefully with experienced geomorphologists and geophysicists before drawing major conclusions.
- · Use postprocessing software that offers different interpretation tools (e.g. Reflex).
- Make (whenever possible) cross-checks using complementary geophysical methods.
- Try to avoid the misinterpretation of critical data sets and repeat the survey if necessary.

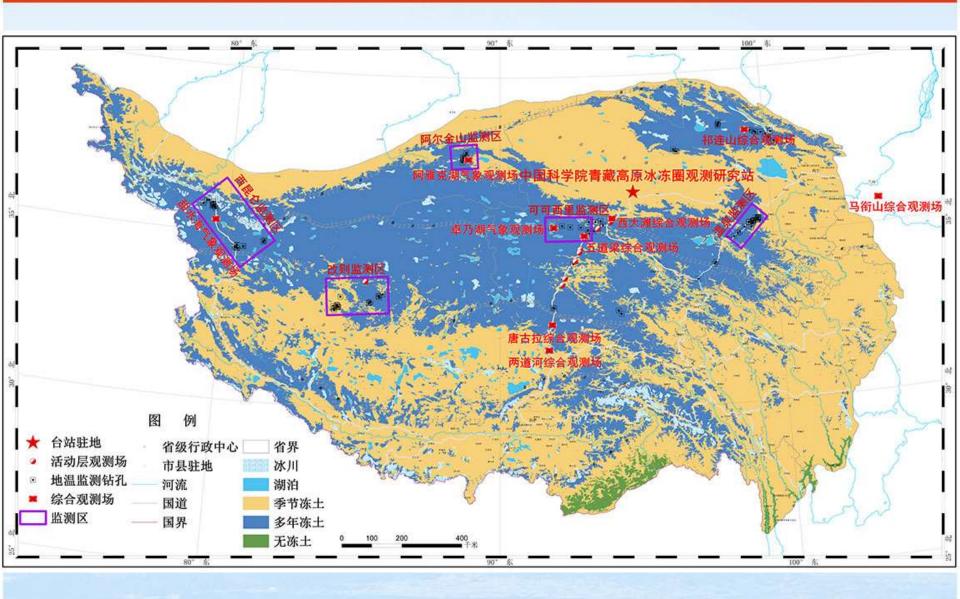
Checklist of the operation procedures

L. Schrott and T. Hoffmann, 2008

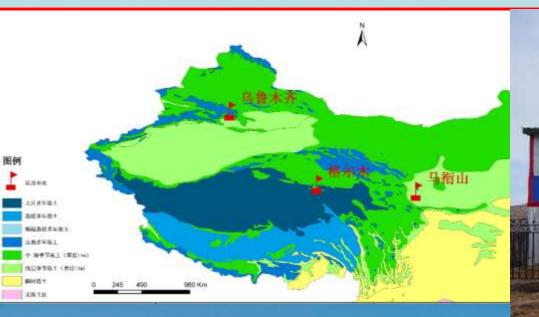


# 3 Some cases on permafrost monitoring in the Qinghai-Tibet Plateau and Mongolian Plateau

# Permafrost monitoring network on the QTP



# Permafrost observation in the northeast of QTP



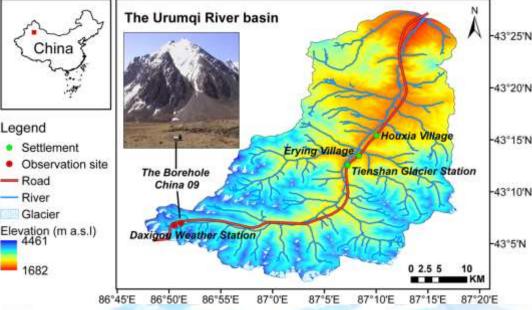


### Maxianshan site (2009 to present)

- AWS
- Boreholes
- Active layer observation sites
- Vegetation sampling sites

### Permafrost observations in the Tienshan Mts.









Urumqi River site (1997 to present)

- ——AWS
  - -Boreholes
  - —Active layer observation site

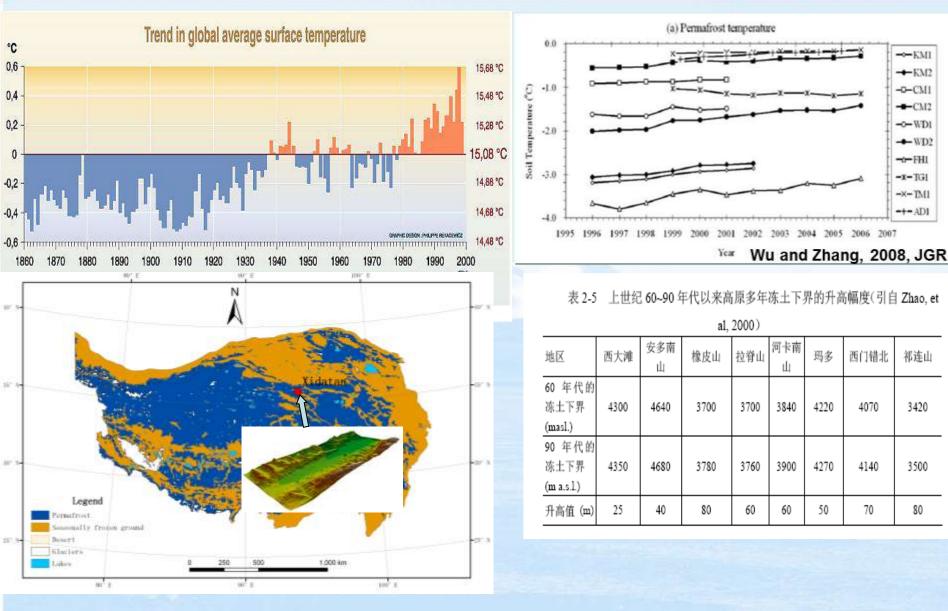
# Permafrost monitoring network on the QTP

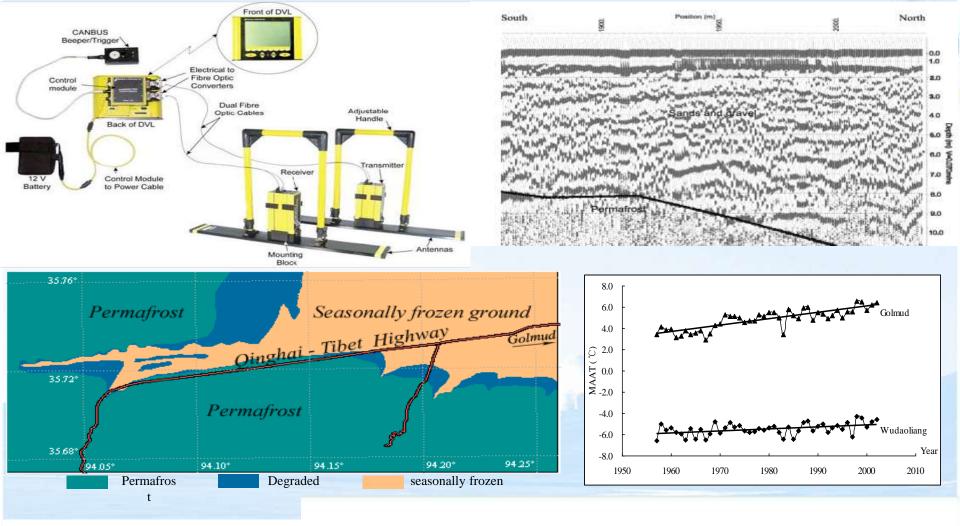
# **Main Equipments**

No.	Apparatus	Set	Contents
1	AWS	7	Regular meteorological factors
2	Eddy covariance system	3	$CO_2$ , heat and moisture flux
3	Boreholes with datalogger	16	Ground temperatures
4	Active layer observation system	18	Soil temperature and moisture
5	Mala-Ramac GPR	1	Permafrost table
6	GDP-32	1	Thickness of ice

# The impacts of climatic warming in the northern limit of permafrost regions on the QTP







The GPR has been applied to identify the permafrost degradation at the boundary regions of permafrost in Northern QTP (Wu et al., 2005)。 38

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measurements indicate that the lowermost occurrences of permafrost on the Tibetan Plateau have moved up by 25 m in the north during the last 30 years and between 50 and 80 m in the south over the last 20 years. Furthermore, the thickness of the active layer has increased by 0.15 to 0.50 m and ground temperature at a depth of 6 m has risen by about 0.1 °C to 0.3 °C between 1996 and 2001 (Cheng and Wu, 2007). Permafrost temperature monitoring in 10 boreholes up to 10.7 m depth was conducted every 2 weeks during the 1996–2006 period along the Qinghai–Tibetan Highway. The primary results show that the long-term mean annual permafrost temperatures at 6.0 m depth vary from -0.19 °C at the Touerjiu Mountains site to -3.43 °C at Fenghuo Mountain, with an average of about -1.55 °C from all 10 sites over the period of their records, confirming that permafrost is relatively warm on the Plateau (Wu and Zhang, 2008).

Ground-penetrating radar (GPR) technology has been used recently on the Tibetan Plateau to detect permafrost degradation. Wu et al. (2005b) used 50-MHz GPR to delimit the extent of permafrost in the Xidatan region (35.7 N, 94.2 E), at the northern margin of sporadic permafrost on the Plateau. The lower altitudinal extent of permafrost was interpreted from nine radar profiles. The locations of the permafrost table along the nine profiles facilitated determination of a characteristic altitudinal permafrost "limit," and assessment of permafrost distribution in the study area. Comparison of these results with those from permafrost surveys conducted in





### Sampling soil cores

# my20120407-1 2.5 m

# Measuring temperature

### **GPR** investigation



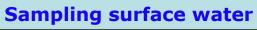


















Soil profiling



### **Investigating of vegetation**

### Permafrost monitoring network on the QTP



We conducted a GPR survey for mapping the permafrost distribution in Davaat Valley and to detect the permafrost in Nalaikh plain in October of 2006.





In order to calibrate the estimation result, two stations have been established in Mongolia for monitoring permafrost changes.

Ulaanbaatar



Davaat Site

Nalaikh Site







### 2006.10 GPR investigation





#### 2007.6 Establishment of Davaat site



### 2008.8 Establishment of Nalaikh site

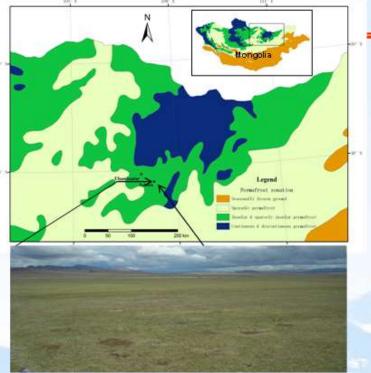


2009.6 Geophysical investigation near Ulaanbaatar

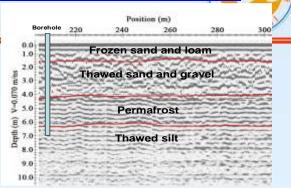


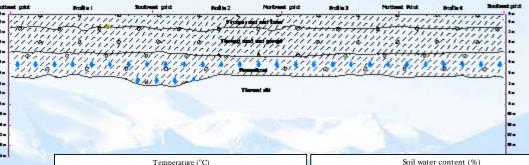
2010.8 Installation of sensors

### Permafrost investigation at the Nalaikh AWS site

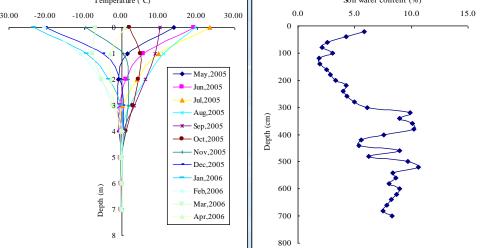








The GPR interpretation results indicated that the permafrost table was located at a depth of 3.8 m to 4.1 m and that the permafrost base at a depth of 6.0 m to 6.8 m in the Nalaikh site. The discontinuous permafrost in the study site was of a small thickness and undergoing severe degradation.

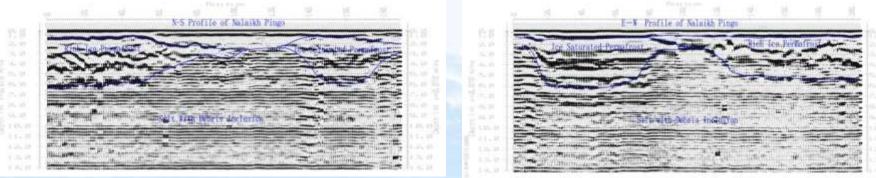


### The corroboration of GPR results

### (Wu et al., 2009)

### **GPR investigation at the Nalaikh pingo**

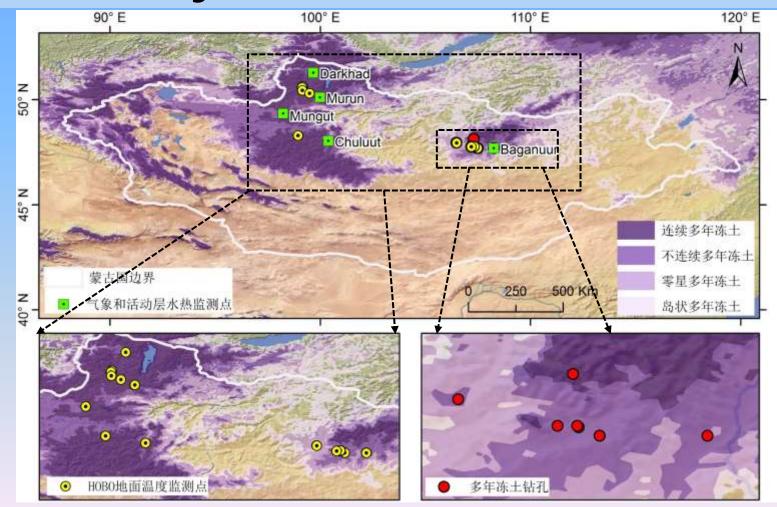




The GPR investigation at the Nalaikh pingo indicated that the active layer thickness was 1.5 m in depth and ground ice was 4 m~6 m in thickness. Compared to the results of previous studies at this site, the active layer thickness has increased 10~15 cm and the thickness of massive ground ice has decreased 1~2 m during the last 8 years. The ground ice is in severe degradation which leads to apparent lowering of lake water level because of the disappearance of permafrost as an aquifuge(非透水 層).

### Permafrost monitoring network on the QTP

### Five AWS and ALT monitoring sites has been set up in 2023 in Henti and Hangai Mts..



### The established permafrost monitoring sites in Mongolia in 2023

# Permafrost monitoring network on the QTP

Elements	Parameters	
Meteorological factors	Ta, Precipitation, Wind speed and direction, Relative humidity, Air pressure, Radiation	
Ground surface temperature	HOBO temperature sensors at 5 cm below ground surface	
Active layer thickness	Soil temperature, moisture, themal conductivity	
Permafrost temperatures	Permafrost temperature (10 ~ 60m boreholes)	











# Thanks ! Email: thuawu@lzb.ac.cn Website: www.crs.ac.cn

